

 HCMC, 31st March, 2021

VOTING REGULATION IN THE FORM OF COLLECTING WRITTEN OPINION

The annual General Investors' Meeting 2020 of DFVN Fixed Income Fund (“DFVN-FIX”) is held in the form of collecting written opinions and voting on the contents of the General Meeting of Investors according to the following regulations:

I. Purpose and issues that need to be voted by Investors to pass the Resolution

To approve the issues that need to be voted by the annual General Investors' Meeting FY2020 of DFVN-FIX Fund.

II. Principles of counting written Opinion votes of Investors

1. Timeframe of getting investors' opinions:

From March 31st, 2021 to April 21st, 2021.

2. Total Votes issued:

The total number of Votes issued is the total number of written opinion sheets ("Opinion sheet") sent by Dai-ichi Life Vietnam Fund Management Company Limited ("the Company") to the investors of DFVN-FIX Fund follow the list of Investors at the last record date being March 23rd, 2021.

The Company will send the Opinion sheets by email to the registered email address of each Investor and at the same time send a post office mail to the registered contact address of each Investor.

3. Total Votes collected:

The total number of Votes collected is the total number of Opinion sheets sent by Investors to the Company to express their opinion on the voting contents.

4. Voting rule:

- Valid voting opinion: valid opinion when the Investor ticks only one option "Agree", "Disagree" or "No opinion" for the opinion polled content. In case the Investor wants to

change her/his voting opinion after marking it, the Investor crosses out the marked option and selects a new option with the Investor's signature right next to the crossed out place;

- Vote for passing and Vote for not passing: The opinion that voted for “Agree” is considered to be passed. The opinion voting "Disagree" or "None opinion" is considered to be not passed.

5. The way to send Opinion sheets:

Investors can send Opinion sheets to the Company by one of the following ways:

- To the contact address:

Dai-ichi Life Vietnam Fund Management Company Limited - 11th Floor, 149-151 Nguyen Van Troi street, Ward 11, Phu Nhuan District, Ho Chi Minh City.

Investors can send by post or send directly to the above address. The Opinion sheets must be sent in a sealed envelope.

- To the email address: Attached with scanned or copy Opinion sheets to the email address dfvn_cs@dai-ichi-life.com.vn from the email address of the Investor registered with the Company.

6. Valid Opinion sheets:

The Opinion sheet is considered valid if it satisfies the following conditions:

- The Opinion sheet sent by the Company to the Investor or in the form of the Company posted on the website of the Company;
- The Opinion sheet is marked with only one (01) out of three (03) options, "Agree", "Disagree", "No opinion" and in accordance with Section II.4 of this Regulation;
- The Opinion sheet is not erased, modified or changed;
- The Opinion sheet signed by the Investor holding DFVN-FIX Fund Certificates (for an individual), by the Legal Representative or by the Investor's authorized representative (for an organization);
- The Opinion sheet is sent to the Company within deadline as specified in Section II.1 of this Regulation;
- The Opinion sheet is contained in a sealed envelope and not opened before the vote counting is carried out.

7. Not participating in voting:

The following cases are considered as Investors who do not participate in voting:

- The Opinion sheet is sent to the Investor's contact address registered with the Company but returned in accordance with the post office's regulations due to the incorrect or no recipient registered address of the Investor; at the same time, the Investor's Opinion sheet was sent to the registered email address of the Investor but this submission was reported unsuccessfully on the system of the Company; or
- The Opinion sheet is sent to the Company at the correct address and manner in Section II.5 of this Regulation but after the time limit for collecting opinion as specified in Section II.1 of this Regulation; or the Opinion sheet that is not sent back by the Investor shall be considered as the Investor not participating in the voting.

8. The result summarization

- The Vote Counting Committee is responsible for summarizing the voting results for each content and making the Minutes of counting votes;
- The counting of votes is conducted under the supervision of representative of the Supervisory Bank, representative of the Board of Representatives and the results are publicly announced in accordance with the provisions of the Fund Charter of DFVN-FIX and current legal regulations.

9. The voting result

- The percentage of voting results are rounded to 2 (two) decimal places;
- The matters for collecting written opinions are passed when approved by the number of Investors representing more than 50% of total number of votes of all voting Investors.

10. Organization of vote counting

The Company conducts counting of votes with the participation of Vote Counting Committee who are the staffs of the Company and will conduct the counting of votes directly at the headquarters of the Company and the Supervisory Board includes (i) representative of HSBC Bank (Vietnam) Limited, is the Supervisory Bank of the Fund and (ii) representative of the Board of Representatives may participate in counting votes directly at the Company's headquarters or via video online.

In case the Supervisory Bank participates in counting votes via Video, after the completion of counting votes, the Company will send to the Supervisory Bank the Investor's email and fax or scan the Investor's opinion sheets sent to the Company by post so that the Supervisory Bank can check and compare with the results of counting votes via Video before signing the Minutes of Vote Counting.

**DAI-ICHI LIFE VIETNAM FUND MANAGEMENT
COMPANY LIMITED**

(Signed)

TRAN CHAU DANH
Chief Executive Officer